



## Common Account Opening Form for Individual, Non-Individual, HUF, Trust

NSE, BSE, MCX, NSDL / CDSL

Premium Account ☐  
Regular Account ☐  
Simplified Account ☐  
BSDA Account ☐

C KYC

KRA

DP ID

TRADING CLIENT CODE

*Explore opportunities and be part  
of India's Incredible Journey*



# Explore **INVESTMENT OPTIONS**



Stocks



IPO



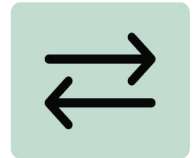
F&O



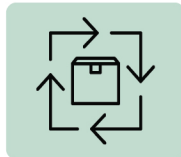
Mutual Funds



Intraday Trading



US stocks



Commodities



Debt Market/Bonds



ETF

## Start your Investment journey with **FARSIGHT**

**Proven Leadership:** The Group has been promoted by **Dr. Naresh Maheshwari**, a financial luminary with a standing of 30 years. He brings unparalleled expertise, having served as the former President of ANMI, CPAI and DPAI (the National level bodies of Indian Stock Brokers, Custodians and Depository Participants). **Dr. Maheshwari** is a prolific commentator on capital market and economic issues and has chaired the ASIA Region of the International Forum for Investor Education (IFIE), showcasing India's leadership on the global stage. His leadership in the Asia Securities Forum (ASF) underscores his dedication to shaping the global capital market.

For a deeper dive into the world of financial excellence, visit our website at [www.farsightshares.com](http://www.farsightshares.com). Join us in building a long and prosperous professional relationship.



ACCOUNT OPENING KIT			
As Per SEBI Circular No. CIR/MIRSD/MIRSD-PoD-1/P/CIR/2024/110 dated August 09, 2024			
INDEX OF DOCUMENTS*			
Sl. No.	Name of Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1.	Account Opening Form	A. CKYC form – Individual (Document captures the basic information about the constituent and instruction/check list. And FATCA and CRS Information.)	1-9
		B. Document captures the additional information about the constituent relevant to trading account and instruction/check	
2.	Rights and Obligation (Trading & DP)	Document stating the Rights & Obligations of stock broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	As per separate booklet (As per format annexure 9, 10 & 11) as per SEBI Circular:SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2024/110 dated August 09,2024 also available on the website and on demand
3.	Risk Disclosure Document (RDD)	Document detailing Uniform Risk Disclosure Documents for Capital Market & Derivatives Segment.	
4.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the investors	
5.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	10-12
6.	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s) and depository services	13-14
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
7.	Letter of Authority	To enable the trading member to act upon the clauses mentioned in the letter	15
8.1	Declaration	Declaration	16
8.2	Name Mismatch Self Declaration	Declaration	
8.3	Disclosure to Client	Disclosure to Client for Pro Trading.	
8.4	Ghoshna	Ghoshna for Hindi	
9.	DDPI	Document seeking authorization by client to the stock broker to access the demat account of the client for specified purposes only	17
10.	MITC	Most Important Terms & conditions	18
Additional Documents For Non Individual			
11.	Non Individual Form	Documents captures the Basic Information about the Non-Individual	19-23
12.	FATCA Declaration	Details of Ultimate beneficial Owner (UBO) Including FATCA & CRS details	
SEBI Registration No. : INZ000169935 Segment: NSE/BSE/MCX		Dated: 23/03/2018	
Depository Participants:IN-DP-579-2021 NSDL/CDSL		Dated: 31/03/2021	
*SOFT COPIES OF THE DOCUMENTS ARE AVAILABLE ON <a href="http://WWW.FARSIGHTSHARES.COM">WWW.FARSIGHTSHARES.COM</a>			
Details of premium & simplified accounts special terms & conditions are also available on demand & on site.			
Rev/June'25			

**FOR OFFICE USE ONLY**

KRA/CKYC NO. : \_\_\_\_\_

Upload/Download : \_\_\_\_\_

Verified by : \_\_\_\_\_

Branch Code : \_\_\_\_\_

UCC Code allotted to the Client: \_\_\_\_\_

DP ID &amp; CLIENT ID : \_\_\_\_\_

Penny Drop Success ☐Failure ☐

	Documents verified	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the Employee			
Date			
Signature			

Account Opened by : \_\_\_\_\_

Date : \_\_\_\_\_

Exchange activated &amp; Mapped by : \_\_\_\_\_ Date : \_\_\_\_ Rechecked by : \_\_\_\_\_

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Name & Signature of the Authorised Signatory \_\_\_\_\_ Date \_\_\_\_\_ Seal / Stamp  
Farsight Securities Limited

**ADDITIONAL INSTRUCTIONS / CHECK LIST FOR F&O / CURRENCY / COMMODITIES ACTIVATION**

1. Additional documents in case of trading in derivatives segments – illustrative list:

- Copy of ITR Acknowledgement
- Copy of Annual Accounts
- In case of salary income – Salary Slip, Copy of Form 16
- Net worth certificate
- Copy of demat account holding statement. Bank account statement for last 6 months
- Any other relevant documents substantiating ownership of assets. Self-declaration with relevant supporting documents.
- In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.

3. Demat master or recent holding statement issued by DP bearing name of the client.

4. If in the opinion of the broker, financial resources, experience are inadequate, trading in any derivative product can be declined.

**5. For Individuals**

- a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker office.
- b. In case of non-resident clients employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

**6. For Non-Individuals**

- a. Form need to be initialized by all the authorized signatories.
- b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.





4. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID)									
Tel.(Off/Res)	<div style="border: 1px solid black; width: 100px; height: 20px; display: flex; align-items: center;"> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> </div>	Fax	<div style="border: 1px solid black; width: 100px; height: 20px; display: flex; align-items: center;"> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> </div>						
Mobile	<div style="border: 1px solid black; width: 100px; height: 20px; display: flex; align-items: center;"> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> </div>	Mobile (2)	<div style="border: 1px solid black; width: 100px; height: 20px; display: flex; align-items: center;"> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> </div>						
Email ID	<div style="border: 1px solid black; width: 100%; height: 20px;"></div>								
5. FATCA / CRS Information									
Do you satisfy any of the criteria mentioned below:-								Yes	No
a. Citizen of any country other than India(dual/multiple) [including green card]									
b. Country of birth is any country other than India									
c. Tax resident of any country/ies other than India									
d. POA or a mandate holder who has an address outside India									
e. Address or telephone number outside India.									
If answer to any of the above questions is a YES or in case of NRI account .please fill the below details :-									
Country#	Tax Identification Number	Identification Type(TIN or other*, please specify)							
# to also include USA, where the individual is a citizen/green card holder of USA									
* In case Tax Identification Number is not available, kindly provide functional equivalent									
6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill below details)									
<input type="checkbox"/> Addition of Related Person <input type="checkbox"/> Deletion of Related Person KYC Number of Related Person (If available*) <div style="border: 1px solid black; width: 100px; height: 20px; display: flex; align-items: center;"> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> </div>									
Related Person Type <input type="checkbox"/> Guardian of Minor <input type="checkbox"/> Assignee <input type="checkbox"/> Authorized Representative									
Name <div style="border: 1px solid black; width: 80%; height: 20px; display: flex; align-items: center;"></div>									
(If KYC number and name are provided, below details are optional)									
Proof of Identity of Related Person									
Identity Proof Submitted <div style="border: 1px solid black; width: 100px; height: 20px; display: flex; align-items: center;"></div> Number <div style="border: 1px solid black; width: 100px; height: 20px; display: flex; align-items: center;"></div> Exp. Date <div style="border: 1px solid black; width: 100px; height: 20px; display: flex; align-items: center;"> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> </div>									
Other (any document notified by the Central Govt.)				Identification No.					
Simplified Measures Account-Documents Type Code				Identification No.					
7. REMARKS (If any)									
8. APPLICANT DECLARATION									
<p>I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.</p> <p>I hereby consent to receiving information from Central KYC Registry through SMS / Email on the above registered mobile number / email address. I give my consent to download/fetch KYC record from the CKYCR and/or KRA only for the purpose of verification of identity &amp; address from the database of CKYCR or KRA as per regulatory requirement of KYC compliances by SEBI registered Intermediaries.</p> <p><b>Subject to applicable laws, I hereby give consent to share my FATCA/CRS information with domestic/overseas regulators or tax authorities wherever necessary. I also declare and confirm that the FATCA/CRS details given as per point no-5 above are true, correct &amp; complete. I further confirm that I have read and understand the FATCA/CRS terms and conditions and hereby accept the same.</b></p>									
Date : <div style="border: 1px solid black; width: 100px; height: 20px; display: flex; align-items: center;"> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> <span style="border-right: 1px solid black; width: 20px;"></span> </div>				<div style="border: 1px solid black; width: 100%; height: 40px; display: flex; align-items: center; justify-content: center;">  (2)         </div>					
Place : <div style="border: 1px solid black; width: 100px; height: 20px; display: flex; align-items: center;"></div>				<b>Signature / Thumb Impression of Applicant</b>					
Note : Separate KYC to be done for each client in case of more than one account holder (for Demat Account only).									
FOR OFFICE USE ONLY									
Details of Employee /AP/ Authorized Signatory	Documents Verified with Originals	Client Interviewed by & IN-Person Verification done by	DD / MM / YYYY <b>Farsight Securities Limited</b> Code - IN0242						
Name			<div style="border: 1px solid black; width: 100%; height: 100px; display: flex; align-items: center; justify-content: center;"> </div>						
Designation/Code									
Date	DD / MM / YYYY	DD / MM / YYYY							
Signature									

<b>9. ADDITIONAL DETAILS :-</b> <input type="checkbox"/> NSDL / <input type="checkbox"/> CDSL <input type="checkbox"/> TRADING									
I / We request you to open a Demat NSDL / CDSL / Trading account in my/ our name as per the following details:									
<b>9.1 DETAILS OF ACCOUNT HOLDER(S) :- (For Demat Account Only)</b>									
Account Holder (s)	Sole / First Holder		Second Holder			Third Holder			
Name									
PAN									
UID (Aadhaar)									
<b>10 BANK DETAILS</b>									
<b>Default Bank (Through which payout transactions would generally be routed)</b>									
1. Bank Account type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRI/NRE/NRO <input type="checkbox"/> Others (Please specify)_____								
2. Bank Account No.									
3. Bank Name	4.UPI								
5. Bank Address	PIN Code								
6. MICR Code			7. IF SC Code						
Proof of Bank Submitted	<input type="checkbox"/> Bank Statement# <input type="checkbox"/> Cancelled Cheque (with client Name and A/c No. printed) <input type="checkbox"/> Bank Passbook## <input type="checkbox"/> Bank Verification Letter (Original)								
<b>Additional Bank</b>									
1. Bank Account type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRI/NRE/NRO <input type="checkbox"/> Others (Please specify)_____								
2. Bank Account No.									
3. Bank Name	4.UPI								
5. Bank Address	PIN Code								
6. MICR Code			7. IF SC Code						
Proof of Bank Submitted	<input type="checkbox"/> Bank Statement# <input type="checkbox"/> Cancelled Cheque (with client Name and A/c No. printed) <input type="checkbox"/> Bank Passbook## <input type="checkbox"/> Bank Verification Letter (Original)								
<b>11. DEPOSITORY ACCOUNT(S) DETAILS</b>									
Depository Name	DP Name	DP ID	Beneficiary Name				Beneficiary ID (BO ID)		
<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL	Farsight Securities Ltd	<input type="checkbox"/> IN301766 <input type="checkbox"/> 12056300							
<b>12. OTHERS DETAILS (please tick any one)</b>									
1. Gross Annual Income Details	<input type="checkbox"/> Below ₹1Lac <input type="checkbox"/> ₹1- 5Lac <input type="checkbox"/> ₹5-10 Lac <input type="checkbox"/> ₹10-25 Lac <input type="checkbox"/> ₹25 Lac-1 Cr <input checked="" type="checkbox"/> Above ₹ 1 Cr								
Networth (Optional)	Amount (₹) _____ As on (Date) DD MM YY YY (Networth should not be older than 1 Year)								
<b>2. Type of Account (Please tick as Applicable)(For Demat A/c only)</b>									
<b>Status</b>		<b>Sub -Status</b>							
<input type="checkbox"/> Individual		<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)				<input type="checkbox"/> Individual Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify)_____			
<input type="checkbox"/> NRI		<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts				<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others(specify)_____			
<input type="checkbox"/> Foreign National		<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National-Depository Receipts <input type="checkbox"/> Others(specify)_____							
3. Please tick, as applicable <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP) <input type="checkbox"/> Non- (If PEP/RPEP ticked than please provide details in separate sheet)									
4. Educational Qualification		<input type="checkbox"/> Under Graduate <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Professional_____							
5. Any Other Information									
<b>13. For Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the DP account is opened in the name of the natural persons, the name &amp; PAN of the Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:</b>									
a) Name					b) PAN				

<b>14 IN CASE OF NRI'S / FOREIGN NATIONALS</b>			
RBI Approval Reference Number		RBI Approval (Date) <span style="border: 1px solid black; padding: 2px;">D D M M Y Y Y Y</span>	
<b>15 GUARDIAN DETAILS (In case the Sole Holder is a Minor) (Applicable for Demat Account only):</b>			
Guardian Name			
PAN	<span style="border: 1px solid black; padding: 2px;">  </span>	Relationship of guardian with minor	
<b>16 FAMILY DECLARATION FOR SAME MOBILE NUMBER / EMAIL ID</b>			
Please refer to the Trading/DP accounts opened with you, for the purpose of dealing in NSE/BSE/MCX/NSDL/CDSL. We all belong to same family or having common director			
MOBILE NUMBER : .....		COMMON EMAIL ID : .....	
I hereby declare that the Mobile Number or E-Mail ID or Both as per KYC belongs to <input type="checkbox"/> Self / <input type="checkbox"/> Family*			
Code/Client ID	Name	Relationship	Signature of Family Member's
* in case of family all details need to filling			
<b>17 AADHAR AUTHENTICATION CONSENT</b>			
"I/we hereby state that I/we have no objection in authenticating myself/ourselves with Aadhar based authentication system through NSDL e-Governance Infrastructure Limited / CDSL Ventures Limited and give my/our consent to provide my Aadhaar Number, OTP, Demographic details or Biometric for Aadhaar based authentication. I/we also authorize NSDL e-Governance Infrastructure Limited / CDSL Ventures Limited to share the authentication details with Farsight Securities Limited for the purpose of carrying Aadhaar Authentication as required by PMLA guidelines, which shall not be further shared."			
<b>18 STANDING INSTRUCTION</b>			<b>Yes</b>
1. I/We authorise you to receive credits automatically into my/our DP account.			<input type="checkbox"/>
2. Account to be operated through Power of Attorney (POA)			<input type="checkbox"/>
3. SMS Alert facility : [Mandatory if you are giving Power of Attorney (POA). Ensure that the mobile number is provided in the KYC Application Form]			<input type="checkbox"/>
Sr. No.	Holder		
a)	Sole / First Holder	<input type="checkbox"/>	<input type="checkbox"/>
b)	Second Holder	<input type="checkbox"/>	<input type="checkbox"/>
c)	Third Holder	<input type="checkbox"/>	<input type="checkbox"/>
4. I/We request you to enable my/our DP account for Auto Pledge confirmation.			<input type="checkbox"/>
5. I wish to avail facility for Internet Trading and Wireless Technology			<input type="checkbox"/>
6. I/We would like to share the email ID with the RTA			<input type="checkbox"/>
7. Mode of Receiving Contract Note, Statement of Account, Margin Reports, Standard KYC Documents & Other Documents (tick any one)		<input type="checkbox"/> Physical Form	<input type="checkbox"/> Electronic Form <small>(Read Note 4 and ensure that email Id is provided in KYC Application Form)</small>
8. I/We would like to receive the Annual Report		<input type="checkbox"/> Physical Form	<input type="checkbox"/> Electronic Form
9. Whether you wish to receive A. Rights & Obligations of Stock Broker, Sub-Broker & Client, B. Rights & Obligations of Beneficial Owner & Depository Participants, C. Right & Obligations of members, AP and clients as prescribed by SEBI and Commodity Exchanges D. Unique Form Risk Disclosure Documents, E. Guidance Note Detailing Do's & Don't for Trading on Stock Exchanges. <input type="checkbox"/> Physical or <input type="checkbox"/> Electronic form Rights & Obligations Uniform Risk Disclosure & Guidance Note			
<b>19 ADDITIONAL DETAILS</b>			
• Number of years of Investment/Trading Experience: .....			
• Employee of Farsight Group/Other Broker/Exchange <input type="checkbox"/> Yes <input type="checkbox"/> No, If Yes provide details .....			
• Relative of an Employee of Farsight Group/other Broker/Exchange <input type="checkbox"/> Yes <input type="checkbox"/> No, if yes provide details .....			
• Any other information .....			
<b>20 GST DETAILS</b>			
• GST Number <span style="border: 1px solid black; padding: 2px;">  </span>		• GST Implementation Location <span style="border: 1px solid black; padding: 2px;">  </span>	



**21 TRADING PREFERENCES**

\*Please sign in the relevant boxes where you wish to trade. Please strike off the option not chosen by you.

Exchanges	NSE, BSE & MCX				MCX, BSE & NSE
All Segment	Cash/ Mutual Fund	F&O	Currency	Debt	Commodity Derivatives
<input checked="" type="checkbox"/> (3)	<input checked="" type="checkbox"/> (3.1)	<input checked="" type="checkbox"/> (3.2)	<input checked="" type="checkbox"/> (3.3)	<input checked="" type="checkbox"/> (3.4)	<input checked="" type="checkbox"/> (3.5)

If you do not wish to trade in any segments/ Mutual fund, Please mention here

**22 PAST ACTIONS**

	Details of any action/proceedings initiated/ pending/taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/Promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If Yes, Please Specify Details _____ _____
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**23 DEALINGS THROUGH OTHER STOCK BROKERS**

	Whether dealing with any other stock broker (in case dealing with multiple stock brokers, provide details of all) Stock Broker Name : _____ Client Code: _____ Exchange: _____ _____
	Details of disputes/dues pending from/to such stock broker : _____ _____

**24 INTRODUCER DETAILS (OPTIONAL)**

	Name of the Introducer _____ Status of the Introducer <input type="checkbox"/> AP <input type="checkbox"/> Existing Client <input type="checkbox"/> Employee <input type="checkbox"/> Other, please specify _____ Address of the Introducer _____ Phone No. of the Introducer _____ Signature of the Introducer : _____
--	--

**25 ONLY FOR CDSL DEMAT CLIENTS**

<b>SMS Alerts Facility</b>	Mobile No. <input type="text"/> + <input type="text"/> 9 <input type="text"/> 1 <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Refer to Terms & Conditions given as <b>Annexure 2.4</b>	<b>[(Mandatory , if you are giving Power of Attorney ( POA)]</b> <b>(If POA is not granted &amp; you do not wish to avail of this facility, cancel this option).</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions Annexure - 2.6</b>	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.	<input type="checkbox"/> Yes <input type="checkbox"/> No
	I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST	
	Stock Exchange Name / ID	Clearing Member Name
		Clearing Member ID (Optional)
	To register for easi, please visit our website : <a href="http://www.cdslindia.com">www.cdslindia.com</a> Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.	<input type="checkbox"/> Yes <input type="checkbox"/> No

Place: \_\_\_\_\_

Date: \_\_\_\_\_

 Signature of the Client ☒ (4)

Only for CDSL DP Client

Only for CDSL DP Client

Only for CDSL DP Client

(Only for CDSL DP Client)

Sole/First Holder Signature


Second Holder Signature

Third Holder Signature

### Nomination Form

**[Annexure A to SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/23 dated February 24, 2022 on Nomination for Eligible Trading and Demat Accounts – Extension of timelines and relaxations for existing account holders]**

<b>M/s. FARSIGHT SECURITIES LTD.</b> <b>17A/55, TRIVENI PLAZA, 3<sup>rd</sup> FLOOR</b> <b>GURUDWARA ROAD, KAROL BAGH,</b> <b>NEW DELHI-110005, PH:01145044439,41</b> <b>Website: <a href="http://www.farsightshares.com">www.farsightshares.com</a></b>										<b>FORM FOR NOMINATION</b> <i>(To be filled in by individual applying singly or jointly)</i>																				
Date	D	D	M	M	Y	Y	Y	Y	Y	UCC/DP ID	I	N								Client ID										
I/We wish to make a nomination. [As per details given below]																														
<b>Nomination Details</b>																														
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																														
<b>Nomination can be made upto three nominees in the account.</b>										<b>Details of 1<sup>st</sup> Nominee</b>								<b>Details of 2<sup>nd</sup> Nominee</b>						<b>Details of 3<sup>rd</sup> Nominee</b>						
1	Name of the nominee(s) (Mr./Ms.)																													
2	Share of each Nominee		Equally <small>[If not equally, please specify percentage]</small>							%								%						%						
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>																														
3	Relationship With the Applicant (If Any)																													
4	Address of Nominee(s)  City / Place: State & Country:																													
																												PIN Code		
5	Mobile / Telephone No. of nominee(s) #																													
6	Email ID of nominee(s) #																													
7	<b>Nominee Identification details #</b> [Please tick any one of following and provide details of same]  <input type="checkbox"/> Photograph & Signature PAN <input type="checkbox"/> Aadhaar Saving Bank account no. Demat Account ID																													
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:																														
8	Date of Birth {in case of minor nominee(s)}																													
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}																													
10	Address of Guardian(s)																													

	City / Place: State & Country:				
	PIN Code				
11	Mobile/ Telephone no. of Guardian#				
12	Email ID of Guardian #				
13	Relationship of Guardian with nominee				
14	<b>Guardian Identification details #</b> [Please tick any one of following and provide details of same]  <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN Account no. Proof of Identity <input type="checkbox"/> Demat Account ID				
Name(s) of holder(s)					 5.1 Signature(s) of holder*
Sole / First Holder (Mr./Ms.)					
Second Holder (Mr./Ms.)					
Third Holder (Mr./Ms.)					

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

# Optional Fields (Information required at Serial nos. 5, 6, 7, 11, 12 & 14 is not mandatory)

**Note:**

This nomination shall supersede any prior nomination made by the account holder(s), if any.


The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

<b>Name and Signature of Holder(s)*</b>		
1. _____	2. _____	3. _____

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature



**Declaration Form for opting out of nomination**  
**[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]**




<b>To</b>  M/s. FARSIGHT SECURITIES LTD. 17A/55, TRIVENI PLAZA, 3 <sup>rd</sup> FLOOR GURUDWARA ROAD, KAROL BAGH, NEWDELHI-110005, PH:011-45044439,41 Website: <a href="http://www.farsightshares.com">www.farsightshares.com</a> Email ID – <a href="mailto:demat@farsightshares.com">demat@farsightshares.com</a>	Date	D	D	M	M	Y	Y	Y	Y
UCC/DP ID									
Client ID (only for Demat account)									
Sole/First Holder Name									
Second Holder Name									
Third Holder Name									
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.									
<b>Name and Signature of Holder(s)*</b>									
<div style="display: flex; justify-content: space-between;"> <div style="width: 30%;">  5.2 </div> <div style="width: 60%;"> 1. _____ 2. _____ 3. _____ </div> </div>									

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

**Note:** It is mandatory to sign either nomination Form or opting out nomination.

## Declaration

The rules and regulations of the Depository and Depository participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we maybe held liable for it. In case of nonresident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we further confirm having read/been explained and understood the contents of Right and obligation of the beneficial owner and depository participant/stock brokers & clients, risk Disclosure document, Policies & procedures, schedule of charges/Tariff sheet and acknowledge the receipt of copy of the same. I/we do hereby agree to be bound by such provision as outlined in these documents. I/we have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

	Name(s) of holder(s)	Signature(s) of holder	Sign to be used
Sole / First Holder / Guardian (in case sole holder is minor)		 (6)	
Second Holder			
Third Holder			

### Notes:

1. All communication shall be sent at the address of the Sole / First holder only.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or Notary Public or a Special Executive Magistrate.
3. Instructions related to nomination are as below:
  - I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
  - II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
  - III. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
  - IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
  - V. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
  - VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
  - VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
  - VIII. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
  - IX. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
  - X. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
  - XI. DP ID and client ID shall be provided where demat details is required to be provided.
4. For receiving Statement of Account in electronic Form:
  - (i) Client must ensure the confidentiality of Password of the email account. (ii) Client must promptly inform the participant if the email address has changed. (iii) Client may opt to terminate this facility by giving 10 days prior notice. Similarly participant may terminate this facility by giving 10 days prior notice.
5. Strike off whichever is not applicable.

**1. Refusal of orders For Penny Stock(s):**

Farsight shall have the absolute discretion to accept, refuse or partially accept any buy or sell order for execution from a client in respect of penny stock, illiquid stocks having low liquidity, illiquid "options", far month "option" writing of "options", stocks in GSM/Z,T, TS,S, B, BE, IL,BT, EQ Category and any other contracts which as per the perception of stock Broker are extremely volatile or subject to market manipulation.

**2. Setting Up Client's Exposure Limits:**

The client agrees to abide by the exposure limits, if any, set by the stock broker or by the Exchange or Clearing Corporation or SEBI from time to time. The client is aware and agrees that the stock broker may need to vary or reduce or impose new limits urgently on the basis of the stock broker's risk perception, risk profile of the client and other factors considered relevant by the stock broker including but not limited to limits on account of exchange/ SEBI directions/limits (such as broker level/ market level limits in security specific /volume specific exposures etc.) In case margin is kept in through new system of pledge and re-pledge of securities, Broker would value these securities after applying such haircut as it deems appropriate which would not be less than 20% in approved securities. Similarly, for providing exposure to client, Broker can re-pledge such securities in favor of clearing member/ Clearing Corporation or Bank under margin re-pledge system. Presently, the exchanges are requiring securities/cash margin in equal ratio and total margin exposure provided by the clearing corporation is 90% of the total value. Therefore, the exposure will be allowed as permitted from time to time by clearing corporation / exchanges.

**3. Applicable Brokerage Rate:**

Brokerage shall be applied as per the rates agreed upon with the client in the KYC at the time of registration of the client and/or subsequently through a written communication between client and Farsight. The rate of Brokerage shall not exceed the maximum brokerage permissible under Exchange bye-laws or Rs. 20/- per contract note whichever is lower. The brokerage is exclusive of various charges payable to Exchange/SEBI/Govt. Authorities/DP/delayed payment charges, penalty arises solely because of client etc. such details are available on website. The slab rates of brokerage fixed by FARSIGHT are function of the quality and cost of services provided to the client and the volume and revenue expected from an account. It shall be reviewed by the FARSIGHT from time to time and may be increased with prospective effect at a notice of 15 Days sent to the E-mail address or postal address of the client registered with FARSIGHT.

**4. Imposition of penalty/delayed payment charges by either party, specifying the rate and the period (This must not result in funding by the broker in contravention of the applicable laws)**

As per prevalent regulations, no shortage in upfront margin / peak margin is allowed. However, there may be cases where shortage in margin arises because of reasons solely attributed to clients. In such case, penalty levied by the exchanges will be debited to the client. In addition, the interest on margin blocked of the broker by clearing corporation will be charges to the client at 21% p.a.. Broker will not pay any interest on unutilized margin or balance in trading account of the clients. In case of repeated violation by client, additional penalty (as disclosed on website) will be levied by the Exchanges. All fines / penalties and charges levied upon the Client due to its acts / deeds or transactions will be recovered by the Stock Broker directly from the client's account.

**5. The right to sell clients' securities re-pledged as margin or close clients' positions, without giving notice to the client, on account of non-payment of client's dues**

FARSIGHT shall have right to sell client's securities, both unpaid as well as collaterals deposited towards margins, or close out client's open positions, without giving notice to the client where there is a delay/failure of the client to meet the pay-in obligations and /or there is a failure of the client to bring additional margin to cover the increase in risk in the dynamic market conditions.



**a) Unpaid Securities in Capital Market:**

- (i) In case any shares/securities purchased by the client remained unpaid on the date of pay in these will be transferred compulsorily to client account but marked as pledge to CUSPA account.  
Farsight may follow the LIFO or average method of liquidation for sale of these securities without any further notice to the client.

**b) The Margin Shortfall in F&O:**

- (i) Positions of the client may be closed out to the extent of margin shortfall on the T+1 basis.
- (ii) While computing margin shortfall, Value of unapproved securities shall not be considered.
- (iii) As per the current Exchange requirements, the member Broker is required to maintain a 50:50 ratio between cash and collaterals margin deposited with the Exchange. FARSIGHT shall therefore have the prerogative to insist for at least 50% of margin in cash and may not consider the value of securities over and above the cash component for the purpose of calculating margins shortfall and close out the F&O Position wherever it finds the deviation. However, sales made in capital market segment shall not be considered while closing F&O Positions on T+1 basis due to margin shortfall.

**c) Intra-day Positions:**

FARSIGHT shall have right to close out any intra-day Positions taken by the client after a defined "Cut-off" time (Presently 20 minutes before close of market).

**d) General**

- (i) While selling the securities/ closing the clients positions, FARSIGHT may take into account the sales made by the client, Positions closed by the client or collections received from the client till cut-off time (Presently 12.00 pm).
- (ii) While selling the Securities/Closing the client's positions, FARSIGHT may not take into consideration cheques/Bank Drafts/ Pay orders deposited by the client with FARSIGHT until clear proceeds of such instruments are received by FARSIGHT in its bank account.
- (iii) FARSIGHT shall have the right to sell client's securities or close out client's open positions but it shall not be under any obligations to undertake this exercise compulsorily. FARSIGHT shall therefore not be under any obligation to compensate/or provide reasons of any delay or omission on its part to sell client's securities or close open positions of the client.
- (iv) The client would make good the short fall, if any post liquidation, immediately. But refund such excess to clients, if so demanded by clients, by next working day.

**6. Shortages in obligations arising out of internal netting of trades**

The policy and procedure for settlement of shortages in obligations arising out of Internal netting of trades is as under:

If securities cannot be purchased from market due to any reason, the short delivering seller is debited at the square up rate as informed by the exchange /clearing corporation; on T+1 day basis and buyer will be given credit. Where the delivery is matched partially or fully at the Exchange Clearing, the delivery and debits/credits shall be as per Exchange Debits and Credits after deducting exchange penalties.

**7. Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client.**

The stock broker may refuse to execute / allow execution of orders due to but not limited to the reason of lack of margin/ securities or the order being outside the limits set by stock broker/exchange/ SEBI and any other reasons which the stock broker may deem appropriate in the circumstances. The stock broker is entitled to disable / freeze the account or trading facility / any other service if, in the opinion of the stock broker, the client has acted in contradiction of this agreement or / evade / violate any laws, rules, regulations, directions of a lawful authority whether Indian or foreign or if the stock broker so apprehends. Any profit/loss arising out of these transactions

shall be at the risk of and borne by the client.

#### **8. Temporarily suspending / deregistering or closing a client's account at the client's request**

Client may instruct the member to close out the account or suspend the trading through client's account for the period as specified in the request in written and duly signed by him. The stock broker can with-hold the payouts of client and suspend his account due to his surveillance action or judicial or / and regulatory order / action requiring client suspension. FARSIGHT may carry a periodic review of the client accounts and may suspend the accounts from Trading in the following circumstances:-

- Where the client is inactive for a period as specified by the exchanges from time to time. The account can be reactivated and can be allowed trading once by compliance requirement and fresh c-KYC is done.
- Physical contract notes are received back undelivered due to reasons like “no such person”, “addressee left”, refusal to accept mails, POD's signed by the third persons, signature mismatch on POD's or other reasons which may create suspicion.
- ECN failed (Bounced email) on more than 3 instances until client submits a valid explanation.
- Client can also send an email from designated mail id for reactive request.
- Upon closing of client' account delink the KYC from respective KRA's

#### **9. Policies & Procedures**

Rules / Policies / Procedures pertaining to exposure upfront margin and intra-day margin, segment wise allocation of funds are being changed from time to time. The latest policies and procedures in this regard are available on the site. Present policy is as under:

(a) Cash and other collaterals (Pledged shares) should be in the ratio of 50:50 each.

(b) The member will allow exposure equivalent to 90% of cash plus collaterals. However, members at its discretion can allow exposure up to 100% of the value of cash plus collaterals.

(c) As of now, it is necessary to upload collaterals, segment wise it is expected from clients (who is trading in more than one segment / exchange) to intimate in writing or through mail his preferred allocation of collaterals. In case, no such intimation is received from client, the member will allocate as per its discretion keeping in view, the peak margin utilized in various exchange / segments.

(d) Margin on share purchased / or sold on T day is released around 11 am or thereafter on T+1. Therefore, adequate margin has to be ensured on T+1 day till release of the margin.

#### **10. Interest and Penalties on short margin, short allocation and excess utilization**

(a) In case, any penalty is levied by Exchanges/ Clearing Corporation for the reasons attributed solely to the client, penalties to be levied to the client.

(b) As aforesaid, in Para 9a. and 9b. if investor uses exposure more than 90% of his collateral or without maintaining cash and collateral ratio, interest as agreed or @ 18% will be levied minimum for three days.

#### **11. Facility of voluntary freezing/blocking of Trading Accounts by Clients:**

Farsight provides the framework and facility of voluntary freezing/blocking the online access of the trading account to their clients. The framework for Trading Members for providing the facility of voluntary freezing/ blocking the online access of the trading account to their clients on account of suspicious activities as finalized by the Brokers' Industry Standards. Farsight provides two modes of the following communications through which the client may request for voluntary freezing/ blocking the online access of trading account if any suspicious activity is observed in the trading account from their Registered Email Id and Registered Mobile No. The following modes have been provided to the clients to place the request to freeze/block the trading account: a) **Email from registered e-mail ID at: stoptrade@farsightshares.com SMS from registered mobile number at: 9311522003**

## TARIFF SHEET

Client Code : \_\_\_\_\_

Client Name : \_\_\_\_\_

### CAPITAL MARKET SEGMENT

	NSE / BSE / MCX	
	in % age terms	Minimum ₹ (per share)
Intraday		
Delivery		
Trade to Trade		

### FUTURES & OPTIONS SEGMENT

	FUTURES		OPTIONS
	in % age terms	Minimum ₹ (per share)	₹ (per share)
NSE			
BSE			
MCX			

### CURRENCY DERIVATIVES SEGMENT

	in % age terms	Minimum ₹ (per share)	₹ (per share)
NSE			
BSE			
MCX			

### MUTUAL FUNDS SEGMENT

NSE	
in % age terms	Minimum ₹ (per share)

\*Transaction charges, services Tax/GST, STT, Stamp Duty, SEBI Fee, Clearing Charges and Other Govt. Levies are Extra.

One Time Account Opening Charges (Rs) \_\_\_\_\_

Technology Charges maximum 0.1% subject to volume.

Recurring Charges: Monthly.....Quarterly.....Half Yearly.....Yearly.....

**Note:** Dispatch of Contract Note: Through Ordinary Post Free. Through Courier Rs. 50/-

In case of previous years duplicate printout Rs. 200/- per statement. Signature (8)  \_\_\_\_\_

### CLEARING MEMBER DETAILS

NSE Equity Derivatives

**FARSIGHT SECURITIES LTD.** - SEBI Regn. No.: INZ000169935

Regd. Office: 17-A/55, Farsight Triveni Plaza, Gurudwara Road, Karol Bagh, New Delh-110005

NSE Currency Derivatives & MCX Commodity Derivative Markets

**SMC GLOBAL SECURITIES LTD.** | SEBI Regn. No.: INZ000199438

Regd. Office: 11/6B, Shanti Chamber, Pusa Road, New Delhi-110005

**DP TARIFF FOR NSDL/CDSL ACCOUNT**

**Farsight**  
 WE REWARD YOUR INVESTMENTS

**FARSIGHT SECURITIES LTD.**

Depository Participant: NSDL, DP – ID – IN301766 CDSL, DP – ID – 12056300

SEBI Regn No. (NSDL, CDSL) : IN-DP-579-2021, +918595829968

17A/55, Triveni Plaza, Gurudwara Road, Karol Bagh New Delhi, Pin code- 110005

 011-45044439/41 (M) 9311059658 | Email: [demat@farsightshares.com](mailto:demat@farsightshares.com)

SI. NO.	PARTICULARS	CORPORATE	INDIVIDUAL / HUF			
			A	B	C	BSDA
1.	Account Opening	NIL	NIL	NIL	NIL	NIL
2.	(i) Annual Maintenance	₹500/- Per Annum	1st year ₹999 Subsequent year Nil	₹300/- Per Annum	₹1000/- Per Annum	Nil (Value of holding upto ₹4,00,000/-) ₹100/- p.a. (Value of holding from ₹4,00,000/- to ₹10,00,000/-)
	(ii) Maintenance Charges By Depositories	₹500/- Per Annum	NIL	NIL	NIL	NIL
3.	Transaction Charges					
	Delivery In-coming	NIL				
	Delivery Out-going (through Speed- E, POA)	₹18/- Per Trxn	₹18/- Per Trxn	₹18/- Per Trxn	₹6/- Per Trxn	₹18/- Per Trxn
4.	KRA/CKYC Registration / Modification	₹250/- Per modification				
5.	Dematerialization	₹100/- Plus ₹3/- per Certificate (Postage charges ₹50/- Extra) Individual For corporates 0.01% of share value (Minimum Rs100 per Transaction)				
6.	Rematerialization	₹100/- per Certificate or ₹20/- for every certificate every 100 securities which ever is higher (Postage Charges ₹50/- Extra)				
7.	Instruction Booklet	₹25/- for 10 Leaves (Postage charges ₹50/- extra)				
8.	Pledge Creation/ Closure/ Invocation(Margin Pledge)	₹50/- per Transaction				
9.	Pledge Creation/ Closure/ Invocation(Other Than Margin Pledge)	0.01% of share value (Minimum Rs100 per Transaction)				
10.	Off Market Transfer	0.01% of share value (Minimum Rs100 per Transaction)				

**N.B. :**

- Any other services not specified above shall be charged extra.
- Rejection charges on failure of instruction ₹100/- per instruction be shall be charged.
- Physical DIS execution charges ₹20/- per slip extra.
- Charges / Services standards are subject to revision at Depository Participants discretion after giving 30 days notice.
- In case of Demat rejection postage shall be charged @100/- for dispatch to the Client for removal of objection.
- case of Non-Payment of the bill within 30 days from the date bill, interest @ 1.00% p.m. will be charged.
- The depository services are liable to be discontinued on such default. The renewal charges will be ₹100/-.
- Statements of accounts will be mailed by ordinary post only, Statements can be provided by courier with extra charges of ₹100/- per dispatch.
- In case of DDIP Stamp Paper Charges ₹100.
- Client Master Charge ₹100/- per Request.
- Taxes and other government levies extra as applicable from time to time

- Charges for transaction value from ₹5 to 20 lac ₹50/- and transaction value above 20 lac ₹80/- per Instruction extra.
- DP reserves the right not to execute the delivery instruction in case where service charges are outstanding.
- Client will maintain minimum ₹200/- as an advance payment which will be adjusted against future bills.
- In case the value of the holdings in the BSDA account exceeds the prescribed criteria of ₹4,00,000/- at any point of time; the regular tariff applicable to non BSDA accounts would be charged from that date onwards.
- The Annual Maintenance Charges are levied in advance at the beginning of the billing cycle.

Please Place my / our Account under Scheme

A \_\_\_\_\_ / B \_\_\_\_\_ /

C \_\_\_\_\_ / BSDA \_\_\_\_\_ /

**HOLDER'S SIGNATURES**
**FIRST HOLDER**

 For Farsight Securities Ltd  
 (Authorised Signatory)

**SECOND HOLDER**
**THIRD HOLDER**
**Received Copy**

 \_\_\_\_\_  
 Client (S) Signature



## VOLUNTARY

To,

### Farsight Securities Ltd.

17-A/55, Farsight Triveni Plaza, Gurudwara Road, Karol Bagh, New Delhi-110005

☎ 011-45044449/53 ☎ 8595829968

E-mail: [contactus@farsightshares.com](mailto:contactus@farsightshares.com)

Date : .....

Client Code : .....

Demat Client ID : .....

#### Sub : Letter of Authority - NSE / BSE / MCX (All Segment)

I/We am/are dealing in securities with you at NSE/BSE/MCX Capital Market Segment, Derivatives Segment, Commodities Segment and Currency Segment. In order to facilitate ease of operations, we authorize you as under:

#### 1. Running Account

We authorize you to settle our running account, interm of sebi/exchange regulations or modification thereof:

☐ Quarterly ☐ Monthly

It can be withdrawn by investor any time by giving written intimation.

#### 2. Telephonic Conversation & Verbal Order:

I/We request you to consider my/our oral or telephonic instructions for order placing/order modification /order cancellation as a written instruction and give us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you. I/We authorize you to record the telephonic conversations, if you so require. I / We understand the risk associated with verbal orders and accept the same. In case of any confusion, however the day end confirmation sent by exchange or broker will be final, if not disputed on the same day.

#### 3. Electronic On-Line Trading:

Trading in exchanges is in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond the control of you and may result in delay in processing or not processing buy or sell orders either in part or in full. I agree that I shall be fully liable and responsible for any such problems glitch. The sauda or position shown by Exchange main ID - terminal or as uploaded by Exchanges in the evening in obligation file would be deemed to be final.

#### 4. Fines & Penalties:

All fines/penalties and charges levied upon you by exchanges/regulator due to my acts / deeds or transactions may be recovered by you from my account.

5. I/We have a trading as well as depository relationship with Farsight Group. Please debit the charges relating to depository services and courier charges for sending physical documents [including my family members] to my/our trading account on monthly basis. I/We also agree to maintain the adequate balance in my/our trading account/pay adequate advance fee.

6. I further authorize Mr./Ms. S/O/D/o Age R/o who is my [Relation] to operate and perform necessary act in relation to this account such as purchase/sale signing confirmation to accept Contract Note documents from Farsight Securities Ltd. He/She is further authorized to make, receive and accept telephone calls relating to operation in this account.


#### 7. SMS/e-mail:

My email id is\* \_\_\_\_\_ has been created by me and not by someone else. The guidelines on ECN have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same. I am aware that exchanges are sending SMS/e-mails providing trade confirmation and details. In case I do not get any details or discover any discrepancy, I shall report to the broker in writing. I further undertake to inform the broker in writing in case there are any changes in given mobile/telephone number and e-mail id.

\*[The email id must be written in handwriting of the client in case of commodity trading]

\* Strike off the clauses not relevant to you.

Thanking you,

Yours faithfully,  (10) \_\_\_\_\_

Client Signature

Place : \_\_\_\_\_

## DECLARATION

(To be signed in case trading account is to be mapped with joint bank/DP account)

Dear Sir,

This is with reference to opening of trading account code \_\_\_\_\_ by Sh. \_\_\_\_\_  
with Farsight Securities Ltd.

1. The bank account No. \_\_\_\_\_ with \_\_\_\_\_ (name of bank)  
proposed to be linked to the above mentioned trading account is in the joint names of \_\_\_\_\_  
as the first holder, \_\_\_\_\_ as second holder and \_\_\_\_\_
2. The DP account No. \_\_\_\_\_ with \_\_\_\_\_ (name of DP)  
proposed to be linked to the above mentioned trading account is in the joint name of \_\_\_\_\_ as the  
first holder \_\_\_\_\_ as second holder and \_\_\_\_\_ as third holder.
3. We hereby confirm that we have no objection with regard to exclusive mapping of the said bank/DP account with the above  
mentioned trading account. We also confirm that transfer of funds/securities shall be effected to and from the above mentioned  
bank/DP account from the credit of this trading account. We joint holders will not have any claim with respect to these transfer or  
transfer or transactions. We further confirm that this account will not be used in other trading account with you.

Signature of Client

Second Holder

Third holder

## Self-Declaration with reference to name in PAN and other documents

Dear Sir/Madam,

I wish to open a trading/demat account with Farsight securities limited and for the same purpose, have signed and delivered an  
account opening form to Farsight securities limited. I understand that there is a mismatch between my name appearing on my PAN  
card (copy of which was given to Farsight securities limited along with account opening form) and the name given on other supporting  
documents. With regards to the same, I undertake to state that I, \_\_\_\_\_ And \_\_\_\_\_  
(name appearing on pan card ) am/are one & the same person and that I request Farsight securities limited to open my trading/demat  
account in the name appearing on Pan card.

I will be solely responsible for any consequences arising out of the difference in my name in my pan , Income tax web-site ,bank  
account and other supporting documents provided by me at the time of opening an account.

Thanking you,

Yours faithfully,

Signature of Client

Second Holder

Third holder

## DISCLOSURE TO CLIENT

To,

..... (Fill Client Name & Address)

.....

Sir,

As per exchange notification, we hereby inform you that, we do  
client based business and trading on our own account also.

Thanks and best regards

For Farsight Securities Ltd.

(Authorized Signatory)

To

Farsight Securities Ltd.

17-A/55, Farsight Triveni Plaza, Gurudwara Road,  
Karol Bagh, New Delhi-110005

Dear Sir,

I acknowledge receipt of your information that you do client  
based business and Pro account trading.

(Client Signature)

## घोषणा

मैं..... यह घोषणा करता/करती हूँ मैंने फारसाईट ग्रुप में अपना शेयर ट्रेडिंग  
(सीएम/एफओ/सीडीएस) का खाता खोला है। उसके लिए एकाउन्ट ओपनिंग फार्म (के.वाई.सी.) और विभिन्न कागजातों पर  
हस्ताक्षर किया है जोकि अंग्रेजी भाषा में है। मुझे इसका हिन्दी में मतलब समझा दिया गया है। मैं फारसाईट ग्रुप के नियम व  
शर्तों से सहमत हूँ। मुझे के.वाई.सी. फॉर्म की कॉपी, अनुच्छेद 9,10,11 एवं DP टैरिफ चार्ट, PMLA (प्रिवेंशन ऑफ मनी  
लॉन्डरिंग) शीट और अन्य स्वैच्छिक (voluntary) डाक्यूमेंट की कॉपी डीमैट डेबिट और प्लेज इंस्ट्रक्शन सहित मिल गई है।

First Holder

Second Holder

Third holder



**FARSIGHT SECURITIES LIMITED**

**Depository Participant: NSDL/CDSL: IN-DP-579-2021 ☎ 8595829968**  
**17-A/55, Farsight Triveni Plaza, Gurudwara Road, Karol Bagh, New Delhi-110005**  
**☎ 011-45044439/41 (M) 9311059658 E-mail : demat@farsightshares.com**

**SEBI/HO/MIRSD/DoP/P/CIR/2022/44**

**'Demat Debit and Pledge Instruction' (DDPI) for transfer of Securities towards deliveries /Settlement Obligations and pledging/re-pledging of securities in favour of Farsight Securities Limited DPID - IN301766 and 12056300 SEBI single registration IN- DP-579-2021.**

**Annexure-A**

**Demat Debit and Pledge Instruction**

S.No.	Purpose	Signature of Client*
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	
2.	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms	
4.	Tendering shares in open offers through Stock Exchange platforms	

Note This is required to be stamped as Power of Attorney and to be signed by all joint holders. This is valid for transfer of shares for transactions executed in Farsight only.

Name:  
 Client ID:  
 Place:  
 Date:

## **Most Important Terms and Conditions (MITC)**

(For non-custodial settled trading accounts as required by SEBI Circular  
No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/180 dated November 13, 2023)

### **Annexure A**

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

 (13)\_\_\_\_\_

**Know Your Customer (KYC) Application Form**
**Non - Individual**

DP ID :-

Client ID :-

UCC :-

**PHOTOGRAPH**

 Please affix  
the recent passport  
size photograph of  
Authorised Signatory  
& sign across it  
(Size 3.5 cm x 2.5 cm)

 Please fill this form in **ENGLISH** and in **BLOCK LETTER**.

**A) IDENTITY DETAILS**

1.	Name of the Applicant																										
2.	Date of Incorporation	DD	MM	YYYY																							
	Place of Incorporation																										
3.	Date of Commencement of Business	DD	MM	YYYY																							
4.	a. PAN																										
	b. Registration No. (e.g. CIN)																										
5.	Status (Please tick any one)	<input type="checkbox"/> Private Ltd. Co. <input type="checkbox"/> FI <input type="checkbox"/> HUF <input type="checkbox"/> Partnership <input type="checkbox"/> Government Body <input type="checkbox"/> Trust/Charities/NGO's <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> FII <input type="checkbox"/> LLP <input type="checkbox"/> Society <input type="checkbox"/> Body of Individuals <input type="checkbox"/> Defense Establishment <input type="checkbox"/> Body Corporate <input type="checkbox"/> AOP <input type="checkbox"/> Bank <input type="checkbox"/> Others (please specify) _____																									

**B) ADDRESS DETAILS**

1.	Correspondence Address :																																		
	City/Town/Village :											Pin Code :						State :						Country :											
2.	Contact Details:																																		
	Tel. (Off/Res) :											Fax :						Mobile :																	
	E-mail Id :																																		
3.	Specify the proof of address submitted for correspondence address :																																		
4.	Registered Address (if different from above.) :																																		
	City/Town/Village :											Pin Code :						State :						Country :											
3.	Specify the proof of address submitted for registered address :																																		

**C) OTHERS DETAILS**

1.	Name, PAN, Residential Address and Photographs of Promoters / Partners / Karta / Trustees / Whole time directors and Authorised Signatory.	As per Annexure
2.	a. DIN of whole time directors b. Aadhar Number of Promoters/Partners/Karta	

**D) DECLARATION**

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.



Signature of the Authorised Signatory(ies)

Date : DD-MM-YYYY

**FOR OFFICE USE ONLY**

<input type="checkbox"/> Originals verified and Self-Attested document copies received		Seal / Stamp of the Intermediary
Name of the Authorised Signatory		
Date DD-MM-YYYY	For Farsight Securities Limited	
Place : Delhi	Signature of the Authorised Signatory	

Details of Promoters/Partners/Karta/Trustees/Whole Time Directors and Authorised Signatory forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant \_\_\_\_\_ PAN of the Applicant

Sr. No.	PAN	NAME	DIN (For Directors)/Aadhar Number (For Others)	Residential/Registered Address	Relationship with Application (i.e. promoters, partners, Karta, whole time director Authorised Signatory etc.	Photograph
1.	<input type="text"/>		<input type="text"/>			
2.	<input type="text"/>		<input type="text"/>			
3.	<input type="text"/>		<input type="text"/>			
4.	<input type="text"/>		<input type="text"/>			



Signature of the Authorised Signatory(ies)

Date :   -   -

Details of Ultimate Beneficial Owner (UBO) Including Additional FATCA & CRS Information (Refer to instructions) (Please consult your professional tax advisor for further guidance on your tax residency, if required)		Declaration Form for Non-Individuals
<b>1 APPLICANT Details</b>		
Name of the entity <span style="border: 1px solid black; display: inline-block; width: 500px; height: 1.2em; vertical-align: middle;"></span>		
Type of address given at KRA <input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA and notify the changes		
Customer ID / Folio NO. <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>		
PAN <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span> Date of Incorporation <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>		
City of Incorporation <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span> Country of Incorporation <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>		
Entity Constitution <input type="checkbox"/> Partnership Firm <input type="checkbox"/> HUF <input type="checkbox"/> Private Limited Company <input type="checkbox"/> Public Limited Company <input type="checkbox"/> Society <input type="checkbox"/> AOP/BOI <input type="checkbox"/> Trust <input type="checkbox"/> Liquidator <input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Artificial Juridical Person <input type="checkbox"/> Others <u>Specify</u>		
<b>Please tick the applicable tax resident declaration</b>		
Is "Entity" a tax resident of any country other than India? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, Please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)		
Country	Tax Identification Number *	Identification Type (TIN or Other <sup>5</sup> , please specify)
<sup>6</sup> In case Tax Identification Number is not available, kindly provide its functional equivalent. <sup>5</sup> In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc. In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>		
<b>2 FATCA &amp; CRS Declaration (Please consult your professional tax advisor for further guidance on FATCA &amp; CRS classification)</b>		
<b>PART A (to be filled by Financial Institutions or Direct Reporting NFEs)</b>		
1. We are a, Financial Institution <sup>6</sup> <input type="checkbox"/> or Direct reporting NFE <sup>7</sup> <input type="checkbox"/> (please tick as appropriate) GIIN not available (please tick as applicable) If the entity is a financial institution,	GIIN <span style="border: 1px solid black; display: inline-block; width: 200px; height: 1.2em; vertical-align: middle;"></span> Note: If you do not have the GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity <span style="border: 1px solid black; display: inline-block; width: 200px; height: 1.2em; vertical-align: middle;"></span> <input type="checkbox"/> Applied for <input type="checkbox"/> Not required to apply for - please specify 2 digits sub-category <sup>10</sup> <span style="border: 1px solid black; display: inline-block; width: 40px; height: 1.2em; vertical-align: middle;"></span> <input type="checkbox"/> Not obtained - Non-participating FI	
<b>PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")</b>		
1. Is the Entity a publicly traded company (that is a company whose shares are regularly traded on a established securities market)	Yes <input type="checkbox"/> (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange <span style="border-bottom: 1px solid black; display: inline-block; width: 200px;"></span>	
2. Is the Entity a related entity <sup>2</sup> of a publicly traded company (a company whose shares are regularly traded on an established securities market)	Yes <input type="checkbox"/> (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company <span style="border-bottom: 1px solid black; display: inline-block; width: 200px;"></span> Nature of relation <input type="checkbox"/> Subsidiary of the Listed Company or <input type="checkbox"/> Controlled by a Listed Company Name of stock exchange <span style="border-bottom: 1px solid black; display: inline-block; width: 200px;"></span>	
3. Is the Entity an active NFE <sup>3</sup>	Yes <input type="checkbox"/> (If yes, please fill UBO declaration in the next section.) Nature of business <span style="border-bottom: 1px solid black; display: inline-block; width: 200px;"></span> Please specify the sub category of Active NFE <span style="border: 1px solid black; display: inline-block; width: 40px; height: 1.2em; vertical-align: middle;"></span> (Mention code -refer 2c of Part D)	
4. Is the entity a passive NFE <sup>4</sup>	Yes <input type="checkbox"/> (If yes, please fill UBO declaration in the next section.) Nature of business <span style="border-bottom: 1px solid black; display: inline-block; width: 200px;"></span>	
<sup>1</sup> Refer 2a, <sup>2</sup> Refer 2b, <sup>3</sup> Refer 2c, <sup>4</sup> Refer 3(ii), <sup>6</sup> Refer 1, <sup>7</sup> Refer 3(vii), <sup>10</sup> Refer 1A of Section 6.		



**3 UBO Declaration**

Category (Please tick applicable category) : ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company  
☐ Unincorporated association / body of individuals ☐ Public Charitable Trust  
☐ Religious Trust ☐ Private Trust ☐ Other \_\_\_\_\_

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s).  
 Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E

Name - Beneficial Owner / Controlling person Country - Tax Residency* Tax ID No. - Or functional equivalent for each country*	Tax ID Type - TIN or Other, please specify Beneficial Interest - in percentage Type Code <sup>11</sup> - of Controlling	Address - Include Stat, Country, PIN / ZIP Code & Contact Details Address Type -
Name: <input type="text"/> Country: <input type="text"/> Tax ID No. <sup>%</sup> <input type="text"/>	Tax ID Type: <input type="text"/> Type Code: <input type="text"/> Address Type <input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	Address: <input type="text"/> Zip: <input type="text"/> State: <input type="text"/> Country: <input type="text"/>
Name: <input type="text"/> Country: <input type="text"/> Tax ID No. <sup>%</sup> <input type="text"/>	Tax ID Type: <input type="text"/> Type Code: <input type="text"/> Address Type <input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	Address: <input type="text"/> Zip: <input type="text"/> State: <input type="text"/> Country: <input type="text"/>
Name: <input type="text"/> Country: <input type="text"/> Tax ID No. <sup>%</sup> <input type="text"/>	Tax ID Type: <input type="text"/> Type Code: <input type="text"/> Address Type <input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	Address: <input type="text"/> Zip: <input type="text"/> State: <input type="text"/> Country: <input type="text"/>

**# If passive NFE, please provide below additional details**

PAN / Any other identification Number (PAN, Aadhar, Passport, Election ID, Govt. ID, Driving License NREGA Job Card, Others) City of Birth - Country of Birth	Occupation Type: Service, Business, Others Nationality: _____ Father's Name: Mandatory if PAN is not available	DOB: Date of Birth Gender: Male, Female, Other
1. PAN: <input type="text"/> City of Birth: <input type="text"/> Country of Birth: <input type="text"/>	Occupation type: <input type="text"/> Nationality: <input type="text"/> Father's Name: <input type="text"/>	Date of Birth: <input type="text"/> Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
1. PAN: <input type="text"/> City of Birth: <input type="text"/> Country of Birth: <input type="text"/>	Occupation type: <input type="text"/> Nationality: <input type="text"/> Father's Name: <input type="text"/>	Date of Birth: <input type="text"/> Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
1. PAN: <input type="text"/> City of Birth: <input type="text"/> Country of Birth: <input type="text"/>	Occupation type: <input type="text"/> Nationality: <input type="text"/> Father's Name: <input type="text"/>	Date of Birth: <input type="text"/> Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others

\*Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green card in any country other than India:

\*To include US, where controlling person is a US citizen or green card holder

<sup>11</sup>In case Tax Identification Number is not available, kindly provide functional equivalent

<sup>6</sup>Refer 3(vi),<sup>11</sup> Refer 3(iv) (A) of Section 6.

**4 FATCA - CRS Terms and Conditions**

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax rules, 1962, which Rules require Indian financial institutions to seek additional personal tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with Farsight Securities Ltd or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information. If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a U.S. Citizen or resident or green card holder, please include United States in the foreign country information held along with the US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such as identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

**5 Certification**

I/We have understood the information requirements of this form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We also confirm that I/We have read and understood the FATCA & CRS Terms and Conditions above and hereby accept the same.

Name \_\_\_\_\_ Place \_\_\_\_\_ Date \_\_\_\_\_  
 Designation



Sole/First Holder Signature

Second Holder Signature

Third Holder Signature



**Farsight Securities Ltd.**

Trading Member NSE, BSE, MCX

As our HUF firm wishes to open an account with you in the said name \_\_\_\_\_ We beg to say that the first signatory to this letter i.e. . \_\_\_\_\_ is the karta of the joint family and other signatories are the adult co-parceners of the said family. We authorize the KARTA to sign Account Opening Form and other KYC documents for opening and operating the said account.

We further confirm that the dealing in shares and securities of the said joint family is carried on mainly by the said karta as also by the other signatories here to in the interest and for the benefit of the entire body of co-parceners of the joint family. We all undertake that claims from the said family shall be recoverable personally from all or any of us and also for the entire family properties of which the first signatory is the karta, including the share of minor co-parceners.

In view of the fact that ours is not a firm governed by the Indian partnership Act 1952. We have not got our said firm registered under the said Act.

We hereby undertake to inform you of the death of Karta or a co-parcener or birth of new co-parcener of any change occurring at any time in the membership of our joint family during the currency of the account.

**Name & Signature of adult co-parceners (use Annexure for additional Members)**

Sr. No.	Name of Adult Co-parceners	Signature of Adult Co-parceners	Relation
1.		⊗	
2.		⊗	
3.		⊗	
4.		⊗	
5.		⊗	
6.		⊗	
7.		⊗	

**Name & Date of Birth of Minor co-parceners (use Annexure for additional Members)**

Sr. No.	Name of Minor Co-parceners	Date of Birth of Minor Co-parceners							
1.		D	D	M	M	Y	Y	Y	Y
2.		D	D	M	M	Y	Y	Y	Y
3.		D	D	M	M	Y	Y	Y	Y
4.		D	D	M	M	Y	Y	Y	Y
5.		D	D	M	M	Y	Y	Y	Y
6.		D	D	M	M	Y	Y	Y	Y

Name of Karta \_\_\_\_\_

Signature of Karta \_\_\_\_\_  
with stamp

# *Experience Excellence with Farsight : Your Sherpa to Invest in India*

This opportunity to invest in India is to embark upon a journey of financial prosperity with Farsight Group, a distinguished and unwavering financial powerhouse committed to pinnacle of investment services. Established in 1995, it stands proudly as a signature Broking House, with memberships in the NSE, BSE, MCX and DP of NSDL & CDSL.

## **Why India**

Political stability, long term vision duly supported with Big ticket reforms and world scale infrastructure, demographic dividends have put India on the map of world's fastest growing economies. NIFTY and the Sensex are amongst the best performing indices truly capturing the resilience, depth and growing power of Indian Investors. This has culminated into higher GDP growth, stronger FDI and FII investment and the portfolio of any investor is incomplete without exposure on India.

## **Why Farsight?**

A Legacy of Trust: With three decades of dedicated commitment, Farsight boasts of a perfect track record—ZERO client grievances. Our thrust to customer satisfaction is unparalleled. Experience of our customer's is excelled further by Kunjee – the Trading App, Insta Fund Transfer and dedicated e-Farsight portal.

## **Comprehensive Services:**

- EQUITIES
- DERIVATIVES
- COMMODITIES
- IPO
- BONDS
- MUTUAL FUNDS

### **Regulatory & Compliances:**

Our expert professionals can help in making application, getting registration and ensuring timely and regular compliances.

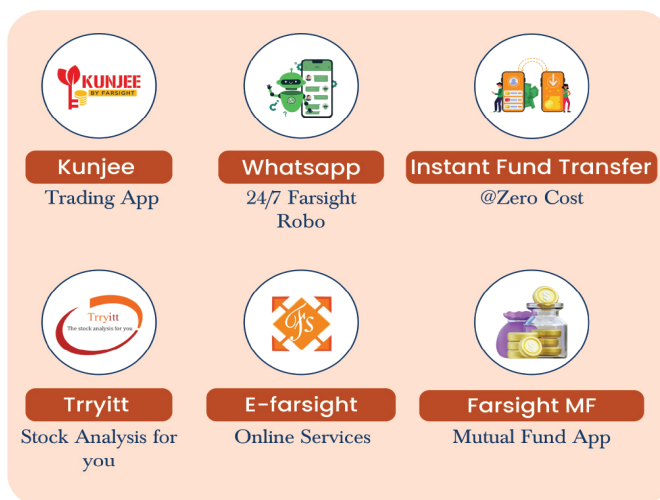
## Explore Investment Options



**Farsight**

WE REWARD YOUR INVESTMENTS

## If it's Finance, Multiply with Farsight



WE REWARD YOUR INVESTMENTS

## FARSIGHT SECURITIES LIMITED

MEMBER NSE, BSE, MCX  
Depository Participant : NSDL, CDSL

SEBI REGN. NO.:  
INZ000169935  
IN-DP-579-2021

DATE:  
23/03/2018  
31/03/2021

### Compliance Officer's Details

Name : Pawan Joshi  
E-mail Id : [compliance@farsightshares.com](mailto:compliance@farsightshares.com)

### CEO's Details

Name : Manju Maheshwari  
E-mail Id : [ceo@farsightshares.com](mailto:ceo@farsightshares.com)

Note: 1. Established in 1996 and registered with SEBI vide Certificate No.: INB230853732 Dated-13-03-1996 For NSE  
2. NSDL DP activated in 2000 vide SEBI registration No.: IN-NSDL-148-2000 in Year 2000  
17-A/55, Farsight Triveni Plaza, Gurudwara Road, Karol Bagh, New Delhi

For any grievance/dispute please contact FARSIGHT SECURITIES LIMITED at the above address or email id [ig@farsightshares.com](mailto:ig@farsightshares.com).  
In case not satisfied with the response, please contact the concerned exchange(s) at

### Exchange Name

National Stock Exchange of India Ltd.  
Bombay Stock Exchange Ltd.

### E-mail ID

[ignse@nse.co.in](mailto:ignse@nse.co.in)  
[iscdelhi@bseindia.com](mailto:iscdelhi@bseindia.com)

### Phone No.

011-23344313 Toll Free : 1800 22 0058 (Toll Free)  
011-41510481

+91 9311522003  
+91 8595829968

[customercare@farsightshares.com](mailto:customercare@farsightshares.com)  
[www.farsightshares.com](http://www.farsightshares.com)



For Website

JUN/25